

07/05/01

JC945 U.S. PTO

07-06-01

A

**UTILITY
PATENT APPLICATION
TRANSMITTAL***(Only for new nonprovisional
applications under 37 CFR 1.53(b))*

Title of Invention

System and Method for Communicating Optical Signals
Between A Data Service Provider and Subscribers

Named Inventor(s)

James O. Farmer, John J. Kenny, Patrick W. Quinn,
Thomas A. Tighe, Paul F. Whittlesey, and
Emmanuel A. Vella

Attorney Docket

08286.105003

Express Mail Label No.

EF378615827US

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09/899410

07/05/01

APPLICATION ELEMENTSAssistant Commissioner of Patents
ADDRESS TO: Box Patent Application
Washington, D.C. 20231**ACCOMPANYING APPLICATION PARTS**

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification, Claims,
and Abstract Total Pages **64**
4. ☒ Drawings Total Sheets **13**
5. Oath or Declaration Total Pages **6**
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from prior application (37 CFR 1.63(d))
*(for continuation/divisional with Box 17
completed)*
- (i) ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior
application, see 37 CFR 1.63(d)(2)
and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76.
7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence
Submission *(if applicable, all necessary)*
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statement verifying identity of above
copies

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
- ☐ Power of Attorney by assignee
11. ☐ English Translation Document *(if applicable)*
12. ☐ Information Disclosure Statement (IDS)
PTO-1449
- ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
16. ☒ Assignee: **Wave7 Optics, Inc.**
1075 Windward Ridge Parkway
Suite 170
Alpharetta, GA 30005
19. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:
Prior application information: Examiner: Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS:Steven P. Wigmore, Esq.
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FEE TRANSMITTALAttorney Docket No. **08286.105003**

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **James O. Farmer et al.**Filing Date: **July 5, 2001**Title: **System and Method for Communicating Optical Signals
Between A Data Service Provider and Subscribers**

The filing fee is calculated as shown below:

1. FILING FEE:

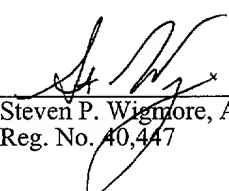
SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$355	\$355	\$710	
<input type="checkbox"/> DESIGN FILING FEE	\$160		\$320	
<input type="checkbox"/> PLANT FILING FEE	\$245		\$490	
<input type="checkbox"/> REISSUE FILING FEE	\$355		\$710	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$75		\$150	
SUBTOTAL (1)		\$355		\$xxx

2. CLAIMS:

SMALL ENTITY				LARGE ENTITY		
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	52 - 20 =	32	x 9 =	\$288	x 18 =	
INDEP. CLAIMS	4 - 3 =	1	x 40 =	40	x 80 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+135 =		+270 =	
SUBTOTAL (2)				\$683		\$xxx

3. ADDITIONAL FEES:

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
SUBTOTAL (3)		\$683		\$xxx

TOTAL FILING FEES: \$683A check is enclosed for the total amount: **\$683**☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0980.KING & SPALDING
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Date: July 5, 2001